



915

PTO/SB/08a 07-05  
Approved for use through 07/31/2006. OMB 0651-0031  
Trademark Office, U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1648A (PTO)

第二章 1955

PTO/SB/08-07-09  
Approved for use through 07/31/2006. OMB 0651-0031  
Trademark Office, U.S. DEPARTMENT OF COMMERCE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

St

1

8

4

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p>				<p><i>Complete if Known</i></p>													
Sheet		1	of	4													
<table border="1"> <tr> <td>Application Number</td> <td>10/175,851</td> </tr> <tr> <td>Filing Date</td> <td>January 16, 2004</td> </tr> <tr> <td>First Named Inventor</td> <td>Craig HANSEN</td> </tr> <tr> <td>Group Art Unit</td> <td>2183</td> </tr> <tr> <td>Examiner Name</td> <td>COLEMAN, ERIC</td> </tr> <tr> <td>Attorney Docket Number</td> <td>43876-162</td> </tr> </table>						Application Number	10/175,851	Filing Date	January 16, 2004	First Named Inventor	Craig HANSEN	Group Art Unit	2183	Examiner Name	COLEMAN, ERIC	Attorney Docket Number	43876-162
Application Number	10/175,851																
Filing Date	January 16, 2004																
First Named Inventor	Craig HANSEN																
Group Art Unit	2183																
Examiner Name	COLEMAN, ERIC																
Attorney Docket Number	43876-162																

---

**U.S. PATENT DOCUMENTS**

---

---

**FOREIGN PATENT DOCUMENTS**

---

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH /E G/

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

4

		Complete if Known	
Application Number	10/757,851		
Filing Date	January 16, 2004		
First Named Inventor	Craig HANSEN		
Group Art Unit	2183		
Examiner Name	COLEMAN, ERIC		
Attorney Docket Number	43876-162		

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate) title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issued number(s), publisher, city and/or country where published.	T <sup>2</sup>
	MU v. SCEA	S/1/07 Exhibits A-2, E-2, and F-2 to SCEA's Supplemental Invalidity Contentions filed 5/1/07 (previously marked Outside Counsel Eyes Only, now released for submission) (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505; USDC for the Eastern District of Texas, Marshall Division)	
		7/1/07 SCEA's Second Amended Answer, Affirmative Defenses, and Counterclaims to MicroUnity's First Amended Complaint (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505, U.S.D.C., E.D. Texas, Marshall Division)	
		7/1/07 P.R. 4-3 Joint Claim Construction Statement (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505, U.S.D.C., E.D. Texas, Marshall Division)	
		7/1/07 P.R. 4-3 Joint Claim Construction Statement - MU's Supporting Evidence - Reference #2: Definitions of "execution" and "general purpose computer", The IEEE Standard Dictionary of Electrical and Electronics Terms, 6 <sup>th</sup> ed. (IEEE 1996), pp. 379, 451 and 1232 (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505, U.S.D.C., E.D. Texas, Marshall Division)	
		7/1/07 P.R. 4-3 Joint Claim Construction Statement - MU's Supporting Evidence - Reference #3: Definition of "execution", Modern Dictionary of Electronics, 6 <sup>th</sup> ed. revised and updated (Newnes/Butterworth-Heinemann 1997), p. 355 (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505, U.S.D.C., E.D. Texas, Marshall Division)	
		7/1/07 P.R. 4-3 Joint Claim Construction Statement - MU's Supporting Evidence - Reference #5: 3/9/05 Joint Claim Construction and Prehearing Statement in MicroUnity Engineering Systems, Inc. v. Dell, Inc. and Intel Corporation, No. 2:04-CV-120 (U.S.D.C., E.D. Texas) (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505, U.S.D.C., E.D. Texas, Marshall Division)	
		8/24/07 MU's LRP 4-5(a) Opening Brief on Claim Construction, and Exhibits 1-14 (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505, U.S.D.C., E.D. Texas, Marshall Division)	
		9/2/07 SCEA's Responsive Brief Regarding Claim Construction Pursuant to P.R. 4-5(b), and Exhibits 1-34 (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505, U.S.D.C., E.D. Texas, Marshall Division)	
		9/17/07 LPR 4-5(c) Reply Brief on Claim Construction from MicroUnity Systems Engineering, Inc. and Exhibits 15 and 16 (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505, U.S.D.C., E.D. Texas, Marshall Division)	
		10/15/07 SCEA's Motion for Partial Summary Judgment of Invalidity for U.S. Patent Nos. 6,643,765 and 6,725,356, and Proposed Order, and Exhibits A-U (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505, U.S.D.C., E.D. Texas, Marshall Division)	
		10/29/07 MU's Response Brief in Opposition to SCEA's Motion for Partial Summary Judgment of Invalidity of U.S. Patent Nos. 6,643,765 and 6,725,356, and Proposed Order (MicroUnity Systems Engineering, Inc. v. Sony Computer Entertainment America, Inc.; 2:05-cv-505, U.S.D.C., E.D. Texas, Marshall Division)	

Examiner Signature	/Eric Coleman/	Dated Considered	05/02/2008
--------------------	----------------	------------------	------------

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next amendment to applicant. 1 Applicant's entire citation disclosure resides (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is guaranteed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the information. (This does not include the time required for reviewing the completed form by the USPTO.) Persons who are subject to the requirements of this form must submit the information contained in the form in electronic format. If you are unable to submit this form in electronic format, you must mail or fax the completed form to the USPTO. Filing a paper copy of this form instead of submitting the information in electronic format is a request for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. Send to CustomerUser for Fatsua, P. O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.C./

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO			Complete if Known
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
{use as many sheets as necessary}			
Sheet	3	of	4
			Attorney Docket Number
			43876-162

OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS

Examiner	/Eric Coleman/	Dated	05/02/2008
----------	----------------	-------	------------

**\*EXAMINER:** Initial information enclosed, whether or not relevant. If in conformance with MPEP 607. Draw line through entries if not in conformance and not considered. Include copy of this form with next communication to applicant. 1. Applicant's unique citation designation number (optional). 2. Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.78 and 1.79. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is guaranteed by 35 U.S.C. 122 and 37 CFR 1.4. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the information. You are not required to provide any information that is not required by this form. If the information is not required, or if you are not sure whether information will be required for your application, you should still provide a response rather than leaving the information blank. Any comments on the amount of time required to complete this form and any suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. Send To Comptroller For Filing, P. O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH (E.G.)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449A/PTO				Complete if Known
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				
(use as many sheets as necessary)				
Sheet	4	of	4	
				Attorney Docket Number 43876-162
				Application Number 10/757,851
				Filing Date January 16, 2004
				First Named Inventor Craig HANSEN
				Group Art Unit 2183
				Examiner Name COLEMAN, ERIC

OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS

Examiner Signature	/Eric Coleman/	Dated Considered	05/02/2008
-----------------------	----------------	---------------------	------------

**EXAMINER:** Initial reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with first communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a checkmark here if English language Translation is desired. The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to be filed by the USPTO to a third party in the public domain. The information is mandatory for processing of the application. This form is not required for a trademark application. The completed application form to the USPTO. This form will vary depending upon the individual case. Any comment on the amount of three years may require to consider this form. Correspondence suggestions for this matter, should be sent to the Chief of Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FAXES OR FAX NUMBER TO THIS ADDRESS. Send To: Commissioner For Patents, P. O. Box 1450, Alexandria, VA 22313-1450. If you are unable in this form, call 1-800-PTO-9192 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH / EGA